

# SOC Service Organization Controls



## Audit Readiness Services

In the current business landscape, service providers' security programs are continuously being scrutinized; the ability to demonstrate your organization's security posture to customers and prospects is becoming increasingly important, and having a SOC report can be the difference between closing a deal or sending a prospect to your competitors.

BSI is here to help you through each step of the SOC process, providing you with a strategic plan and hands-on assistance to help you prepare for an external SOC attestation. Our SOC experts make sure our clients not only understand what is expected to successfully complete the SOC attestation, but to also ensure that your controls are designed and implemented in a manner suitable for long-term growth and compliance of your organization.

**This increases security, provides visibility into your strengths and weaknesses, reduces sales friction, and creates a solid foundation on which to build or improve your security and compliance programs.**

Our qualified consultants bring years of experience in the security and compliance fields, with expertise in various industry security standards and best practices such as NIST and ISO 2700x; this means we tailor our SOC preparation process in a manner that best suits your needs. We provide clear remediation advice that fits your unique situation, strategic direction on how to ensure required controls are in place and working effectively, and how to best document and organize required evidence. **Our approach is designed to save your organization time, money, and give you the confidence that there will be no "surprises" during the audit.**

**Our proven SOC preparation services are design to help your organization:**

- Understand your SOC scope and compliance requirements
- Leverage your existing security program to meet compliance requirements
- Attain a detailed SOC Readiness assessment along with expert remediation advice
- Gain support and guidance during remediation
- Get assistance with evidence collection prior to and during the audit
- Leverage our expert assistance during the audit to ensure minimal impact on your resources
- Fully prepare you to get an independent SOC report you can share with clients and prospects, providing assurances regarding the suitability/operational effectiveness of your service organization controls

# How We Do It



Every engagement starts with detailed discussions and a healthy dose of listening to make sure we clearly understand your needs and objectives. A typical SOC readiness engagement includes:

## Scoping

BSI works closely with client management and stakeholders to properly identify all services/solutions to be considered in-scope for the SOC report. Additionally, BSI works with the service organization to identify all Trust Service Principles (TSPs) to be included in the SOC 2/3 report. This is important; an improper scope can make the final report insufficient for satisfying the organization's needs, or too exhaustive for the organization to attain the compliance within the required timeframe.

## Implementation

BSI provides assistance and project management services as necessary to support audit preparation activities, and assistance collecting, reviewing, and organizing required audit evidence and artifacts.

## Audit and Reporting

BSI assists with pre-audit and audit activities, including coordination with the CPA firm that will perform the actual audit. This approach allows the auditor to be completely independent and provides clear separation of duties.

## Planning

BSI works closely with your team to develop a customized project plan that takes into consideration all available resources (internal and external), competing initiatives, and organizational goals and objectives.

## This plan includes the following:

- Key project milestones
- Identification of business process owners
- Initial risk assessment
- Development of control objectives
- Development of related policies and procedures
- Describing likely audit testing procedures
- Mapping of policies and procedures to control objectives
- Tracking progress for remediation tasks
- Reviewing remediation results to ensure they are appropriate and complete
- Assistance with remediation if requested
- Pre-audit and audit assistance

## BSI Cybersecurity and Information Resilience

Protecting your information, people and reputation BSI Cybersecurity and Information Resilience helps you address your information challenges. We enable organizations to secure information, data and critical infrastructure from the changing threats that affect your people, processes and systems; strengthening your information governance and assuring resilience.



BSI Group America  
12950 Worldgate Drive, Suite 800  
Herndon VA 20170  
USA

To find out more  
Call: +1 800 862 4977  
Email: [Cyber.us@bsigroup.com](mailto:Cyber.us@bsigroup.com)  
Visit: [bsigroup.com/cyber-us](https://bsigroup.com/cyber-us)